

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 14-027

First Inventor or Application Identifier

MATSUHASHI

Title

STROKE SIMULATOR

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, VA 22313-1450

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **34** ]
- Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description of the Preferred Embodiment
  - Claims
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5** ]
4. Oath or Declaration [Total Sheets **3** ]
- a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))  
(for continuation/divisional with Box 16 completed,
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))  
Assignee: **ADVICS CO., LTD**
8. ☐ 37 C.F.R. § 3.73(b)  
Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
13. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application,  
(PTO/SB/09-12) Status still proper and desired
14. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: .....

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label**23400**

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

(703) 707-9110

Fax

(703) 707-9112

Name (Print/type)

**DAVID G. POSZ**

Registration No. (Attorney/Agent)

**37,701**

Signature

Date

**February 5, 2004**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, Arlington, VA 22202.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)**810****Complete if Known**

Application Number

Filing Date

**February 5, 2004**

First Named Inventor

**MATSUHASHI**

Examiner Name

Art Unit

Attorney Docket No.

**14-027****METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit  
Account  
Number  
Deposit  
Account  
Name**50-1147****POSZ & BETHARDS, PLC**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid   |
|--------------|----------|--------------|----------|------------------------|------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |            |
| 1001         | 770      | 2001         | 385      | Utility filing fee     | <b>770</b> |
| 1002         | 340      | 2002         | 170      | Design filing fee      |            |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |            |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |            |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |            |

SUBTOTAL (1) (\$)**770****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims              | Fee from below | Fee Paid |
|--------------------|---------------------------|----------------|----------|
| <b>9</b>           | -20**= <b>0</b> X         | <b>18</b>      | <b>0</b> |
| Independent Claims | <b>1</b> -3**= <b>0</b> X | <b>86</b>      | <b>0</b> |
| Multiple Dependent |                           |                |          |

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |          |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                         |          |
| 1203         | 290      | 2203         | 145      | Multiple dependent claim, if not paid                     |          |
| 1204         | 86       | 2204         | 43       | **Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$)**0**

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

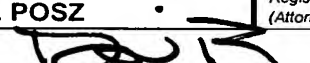
| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid  |
|--------------|----------|--------------|----------|--|-----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |           |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |           |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |           |
| 1053         | 130      | 1053         | 130      | Non-English specification  |           |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |           |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |           |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |           |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |           |
| 1252         | 420      | 2252         | 210      | Extension for reply within second month                                    |           |
| 1253         | 950      | 2253         | 475      | Extension for reply within third month                                     |           |
| 1254         | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |           |
| 1255         | 2,010    | 2255         | 1005     | Extension for reply within fifth month                                     |           |
| 1401         | 330      | 2401         | 165      | Notice of Appeal   |           |
| 1402         | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |           |
| 1403         | 290      | 2403         | 145      | Request for oral hearing   |           |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |           |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |           |
| 1453         | 1,330    | 2453         | 665      | Petition to revive - unintentional   |           |
| 1501         | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |           |
| 1502         | 480      | 2502         | 240      | Design issue fee   |           |
| 1503         | 640      | 2503         | 320      | Plant issue fee  |           |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |           |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |           |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |           |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) | <b>40</b> |
| 1809         | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |           |
| 1810         | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           |           |
| 1801         | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |           |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |           |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)**40****SUBMITTED BY****Complete (if applicable)**

|                   |   |                                   |                         |           |                       |
|-------------------|---|-----------------------------------|-------------------------|-----------|-----------------------|
| Name (Print/Type) | <b>DAVID G. POSZ</b>  | Registration No. (Attorney/Agent) | <b>37,701</b>           | Telephone | <b>(703) 707-9110</b> |
| Signature         |  | Date                              | <b>February 5, 2004</b> |           |                       |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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